

EXPRESS MAIL NO.: 615209940US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

12-06-01

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In re application of:  
Jerome Stein Cohen, *et al.*

Art Unit: 2151

Appl. No. 09/912,280

Examiner: Not Yet Assigned

Filed: July 23, 2001

Attorney Docket: 00982.0004.NPUS00

For: **SYSTEM AND METHOD FOR  
ANALYZING TRANSACTION  
DATA**

**Response to Notice to File Missing Parts**

Commissioner for Patents  
Washington, D.C. 20231  
**ATTN: MISSING PARTS**

Sir:

In response to the **Notice to File Missing Parts --Filing Date Granted** dated September 14, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

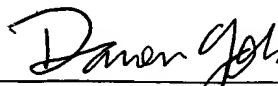
- ☒ Copy of the Notice to File Missing Parts;
- ☒ Executed Declaration and Power of Attorney;
- ☒ 3 sheets of informal drawings;
- ☒ Return postcard; and

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee associated with this filing, to our Deposit Account No. 08-3038 referencing docket number 00982.0004.NPUS00. If extensions of time under 37 C.F.R. § 1.136 are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number 00982.0004.NPUS00. A duplicate copy of this Response is enclosed.

Respectfully submitted,

Date: November 14, 2001

  
\_\_\_\_\_  
Darren Gold (Reg. No. 47,599)

HOWREY SIMON ARNOLD & WHITE, LLP  
301 Ravenswood Avenue  
Box 34  
Menlo Park, CA 94025  
(650) 463-8100



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/912,280	07/23/2001	Jeremy Stein Cohen	00982.0004.NPUS00

CONFIRMATION NO. 5634

## FORMALITIES LETTER



\*OC000000006555432\*

27194  
HOWREY SIMON ARNOLD & WHITE, LLP  
BOX 34  
301 RAVENSWOOD AVE.  
MENLO PARK, CA 94025

Date Mailed: 09/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/13/2001 ETULU1 00000014 083036 09912280

01 FC:205

65.00 CH

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/14/2001 10:00 AM